



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
08/888,350	07/03/97	Or	

EXAMINER	
L. E. Crane	
ART UNIT	PAPER NUMBER
1623	7

DATE MAILED:

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

(1) Ms. Mona Anand (3) _____
(2) Examiner L. E. Crane (4) _____

Date of Interview 08/13/98 and 9/10/98

Type: ☒ Telephonic ☐ Personal (copy is given to ☐ applicant ☐ applicant's representative).

Exhibit shown or demonstration conducted: ☐ Yes ☒ No If yes, brief description: _____

Agreement ☒ was reached. ☐ was not reached.

Claim(s) discussed: all of record

Identification of prior art discussed: none

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant was advised that in view of the allowance of the parent case, acceptance of ~~xx~~ a similar restriction requirement, and a similar election, would permit the instant case to ~~be~~ be passed to allowance on the first action. After consideration of a FAX describing how this might be done, applicant agreed and was informed that the instant claims ~~w~~ as amended were now allowable and would be passed ~~xx~~

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

to issue in due course.

1. ☒ It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary, A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a response to the last Office action has are ready been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

2. ☒ Since the Examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable, this completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the interview unless box 1 above is also checked.

Examiner Note: You must sign this form unless it is an attachment to another form.

FORM PTOL-413 (REV.1-96)

COPY FOR: ☒ File ☐ Applicant

Manual of Patent Examining Procedure, Section 713.04 Substance of Interview must Be Made of Record

A complete written statement as to the substance of any face-to-face or telephone interview with regard to an application must be made of record in the application, whether or not an agreement with the examiner was reached at the interview.

§1.133 Interviews

(b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for response to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

§ 1.2. Business to be transacted in writing. All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete a two-sheet carbon interleaf Interview Summary Form for each interview held after January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten form using a ball point pen. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below.

The Interview Summary Form shall be given an appropriate paper number, placed in the right hand portion of the file, and listed on the "Contents" list on the file wrapper. The docket and serial register cards need not be updated to reflect interviews. In a personal interview, the duplicate copy of the Form is removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the telephonic interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Serial Number of the application
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (personal or telephonic)
- Name of participant(s) (applicant, attorney or agent, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the claims discussed
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). (Agreements as to allowability are tentative and do not restrict further action by the examiner to the contrary.)
- The signature of the examiner who conducted the interview
- Names of other Patent and Trademark Office personnel present.

The Form also contains a statement reminding the applicant of his responsibility to record the substance of the interview.

It is desirable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check a box at the bottom of the Form informing the applicant that he need not supplement the Form by submitting a separate record of the substance of the interview.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he feels were or might be persuasive to the examiner,
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete or accurate, the examiner will give the applicant one month from the date of the notifying letter or the remainder of any period for response, whichever is longer, to complete the response and thereby avoid abandonment of the application (37 CFR 1.135(c)).

Examiner to Check for Accuracy

Applicant's summary of what took place at the interview should be carefully checked to determine the accuracy of any argument or statement attributed to the examiner during the interview. If there is an inaccuracy and it bears directly on the question of patentability, it should be pointed out in the next Office letter. If the claims are allowable for other reasons of record, the examiner should send a letter setting forth his or her version of the statement attributed to him. If the record is complete and accurate, the examiner should place the indication "Interview record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.



United States Patent and Trademark Office

TELECOPY/FACSIMILE TRANSMISSION (FAX)

GROUP 1600, Art Unit 1623

Date: August 13, 1998.

Number of Pages 3, Including This Page.

To: Ms. Mona Anand (Attny./Agent)

5876.US.P1 || 08/888,350 (Attny Docket No./Application No.)

Abbott Laboratories (Organization/Firm/Agency)

9-1-847-938-2623 (FAX/Telecopier Number)

From: Examiner L. E. Crane, Art Unit 1623.

Art Unit 1600's FAX machine may be accessed at (703) 308-4556.

Examiner's Office Phone No.: (703) 308-4639. If you have not received all pages of this transmission, please contact the Examiner at the above telephone number.

All FAX machines will be available to receive transmissions 24 hours/day, 7 days/week.

If you have not received all pages of this transmission and cannot reach the Examiner, please contact this Office as soon as possible at one of the following telephone numbers:

☐ The Examiner's supervisor, Marian Knode, may be reached at (703) 308-1235.

☐ The Group 1600 receptionist may be reached at (703) 308-1235.

IN COMPLIANCE WITH 1096 OG 30, THE FILING DATE ACCORDED EACH OFFICIAL FAX TRANSMISSION WILL BE DETERMINED BY THE FAX MACHINE'S STAMPED DATE FOUND ON THE LAST PAGE OF THE TRANSMISSION, UNLESS THAT DATE IS A SATURDAY, SUNDAY OR FEDERAL HOLIDAY WITHIN THE DISTRICT OF COLUMBIA, IN WHICH CASE THE OFFICIAL DATE OF RECEIPT WILL BE THE NEXT BUSINESS DAY.

Tentative Restriction groups for US Serial No. 08/888,350:

Restriction to one of the following inventions is required under
35 U.S.C. §121:

5 I. Claims **4-8**, drawn to 3, 9-diketo-11,12-
dihydroxyerythromycin and closely related derivatives,
pharmaceutical compositions thereof (linking claim 2), methods of
preparing same, and their use in the treatment of bacterial infections
(linking claim 3), classified in Class 514, subclass 029.000; and Class
10 536, subclass 007.200.

III. Claims **11-22**, drawn to 3, 9-diketo-11,12-{methylenedioxy,
fused 1,3-oxazolidinyl, or fused 2-oxo-1,3-oxazolindinyl}erythromycin
and closely related derivatives, pharmaceutical compositions thereof
(linking claim 2), methods of preparing same, and their use in the
15 treatment of bacterial infections (linking claim 3), classified in Class
514, subclass 029.000; and Class 536, subclass 007.400.

III. Claims **23-30**, drawn to 3-keto-9,11-{ethylenediamino, aka
fused-bridged 1,4-diazepinyl}-11,12-{fused 1,3-oxazolidinyl, or fused
2-oxo-1,3-oxazolindinyl}erythromycin and closely related derivatives,
20 pharmaceutical compositions thereof (linking claim 2), methods of
preparing same, and their use in the treatment of bacterial infections
(linking claim 3), classified in Class 514, subclass 029.000; and Class
536, subclass 007.200.

IV. Claims **26-30**, drawn to 3, 9-diketo-11,12-
25 dehydroerythromycin and closely related derivatives, pharmaceutical
compositions thereof (linking claim 2), methods of preparing same,
and their use in the treatment of bacterial infections (linking claim
3), classified in Class 514, subclass 029.000; and Class 536, subclass
007.200.

Claims 1-3 link(s) inventions I, II, III and IV and will be examined with the elected invention to the extent to which they apply.

5 **The instant communication is not an official Office action, and is intended only to suggest possible modifications to the claims for the purpose of negotiating allowable subject matter.**

10 Note to Ms. Anand: The new alignment of claims is somewhat more complex, but if certain amendments can be agreed to (amending claim 1 by cancellation of all chemical formulas except structure "III" and cancellation of claim 11, and changing dependencies of claims 12-14 from "claim 11" to -- claim 1--), the claims defined in Group II above could be found allowable including the newly specified methods of making in a first action allowance with reasons for
15 allowance. For this allowance to be executed would also require either a) express abandonment of the parent case (08/707,776) or b) a terminal disclaimer).

20 Please get back to me at your earliest convenience to let me know if an examiners amendment (including a complete restriction requirement) is possible wherein:

- 25 i) an amended claim 1 would be substituted for claim 11 which would be cancelled, and claims 12-14 would be amended to change dependency as suggested above,
ii) remaining claims directed to non-elected subject matter (1, 4-10 and 23-34) would be cancelled without prejudice, and
iii) something is done concerning the parent case (Exp. Abdn is the more time-efficient choice; a signed letter of Exp. Abdn may be FAXed to the PTO).

30 Alternatively, you may chose to simply elect Group II and allowance may be negotiated following an Office action and your response/amendment. My phone number is 703-308-4639.

Examiner Crane